15915 U.S.PTO
100803

Docket No.: 113217.120US1

22389 U.S.PTO
10/680407
100803

Honorable Commissioner for Patents
Alexandria, VA 22313-1450

NEW APPLICATION TRANSMITTAL

Dear Sir:

Transmitted herewith for filing is the utility patent application of **Inventors**:

(1) Frederick W. LARK;
(2) Anthony R. ROBINSON;
(3) Eugene MEEHAN;
(4) Chantale LACASSE;
(5) David SALANT;
(6) Colin J. LOXLEY;
(7) Gerald W. SCHIRRA;
(8) Robert TAYLOR;

Entitled: METHOD AND SYSTEM FOR COMPUTER-BASED AUCTIONING OF BASIC GENERATION SERVICES

Enclosed are:

1. Papers Required For Filing Date Under 37 C.F.R. § 1.53(b):

164 Page(s) for Specification
41 Page(s) for Claim(s)
1 Page(s) for Abstract
22 Sheet(s) of **formal** drawings.

2. Declaration/Power of Attorney

_____ Newly executed (original or copy)
_____ Copy from prior application (continuation/divisional applications)

3. Assignment

_____ An assignment with Recordation Form Cover Sheet of the invention. This application is assigned to _____.

4. Microfiche Computer Program Appendix

_____ Sheet(s) of microfiche.

5. **Nucleotide and/or Amino Acid Sequence Submission**

_____ Computer readable copy.
_____ Paper Copy (identical to computer copy).
_____ Statement verifying identity of above copies.
_____ Statement of Reliance on Sequence Listing and Computer Readable Form of Sequence Listing in U.S. patent application number(s) _____, filed _____, incorporated herein by reference in its/their entirety.

6. **Small Entity Statement**

_____ Applicant(s) hereby claim "small entity" status under 37 C.F.R. § 1.9 and § 1.27.
_____ A verified statement that this is a filing by a "small entity" under 37 C.F.R. § 1.9 and § 1.27 is enclosed.
_____ A verified statement that this is a filing by a "small entity" under 37 C.F.R. § 1.9 and § 1.27 was filed in a prior application, copy attached. Status still proper.

7. **Claim for Priority Under 35 U.S.C. § 120**

_____ The benefit under 35 U.S.C. § 120 is hereby claimed.

Please amend the specification as follows:

After the title of the invention, insert the following:

_____ "This application claims priority to, and is a **divisional** application of, U.S. application number(s) _____, filed _____, incorporated herein by reference, in its/their entirety."
_____ "This application claims priority to, and is a **continuation** application of, U.S. application number(s) _____, filed _____, incorporated herein by reference, in its/their entirety."
_____ "This application claims priority to, and is a **continuation-in-part** application of, U.S. application number(s) _____, filed _____, incorporated herein by reference, in its/their entirety."

8. **Claim for Priority Under 35 U.S.C. § 119**

__X__ The benefit of priority under 35 U.S.C. § 119 is hereby claimed.

_____ Certified copy(ies) of the below-identified **foreign/PCT** application(s) enclosed.

_____ Certified copy(ies) of the below-identified **foreign/PCT** application(s) were filed in U.S. patent application number(s) _____, filed _____.

Please amend the specification as follows:

After the title of the invention, insert the following:

_____ "This application claims priority to **U.S. provisional** application number(s) _____, filed _____, incorporated herein by reference, in its/their entirety."

_____ "This application claims priority to **foreign** application number(s) _____, filed _____, incorporated herein by reference, in its/their entirety."

_____ "This application claims priority to **International PCT** application number(s) _____, filed _____, incorporated herein by reference, in its/their entirety.

_____ "The **International PCT** application **was/was not** published in the English language under PCT Article 21(2)."

9. Fee Calculation

Claims Filed	Number Extra		Rate	Basic Fee $770.00
Total Claims	107 - 20 = 87	X	$ 18.00	$1,566.00
Independent Claims	5 - 3 = 2	X	$ 86.00	$ 172.00
Multiple dependent claim(s)			$290.00	
			Small Entity Discount (50% of above)	($ .00)
			Filing Fee Calculation	$2,508.00

_____ Preliminary Amendment canceling/adding extra claims enclosed, and included in above fee calculation.

_____ Preliminary Amendment deleting multiple dependencies enclosed.

10. Fee Payment

_X_	Filing fee calculation above	$2,508.00
_____	Recording assignment (37 C.F.R. § 1.21(h)(1)-$40.00)	$_____
	TOTAL FEES	$2,508.00

11. Method of Payment of Fees

_X_	Not Enclosed. No filing fee is to be paid at this time.	
_____	Enclosed. Check in amount:	$_____
_____	Enclosed. Charge Deposit Account No. 08-0219 amount:	$_____

12. Patent Application Publication-Related Requests (Not for Reissue or Design)

_____ Applicant hereby requests under 37 C.F.R. § 1.84(j) that Figure ____ be included on the front page of the patent application publication and patent.
__X__ Request for non-publication under 35 U.S.C. § 122(b) is enclosed.

13. Other Enclosures:

_____ Preliminary Amendment
_____ Information Disclosure Statement
__X__ Application Data Sheet
_____ Other _____

14. Authorization to Credit Overpayment or Charge Additional Fees

__X__ The Commissioner is hereby authorized to credit any overpayment, or charge fees under 37 C.F.R. § 1.16 or 37 C.F.R. § 1.17 which may be required, to Deposit Account No. 08-0219. A duplicate copy of this Transmittal Letter is attached for accounting purposes.

15. Conditional Petition For Extensions of Time

__X__ Petition is hereby made under 37 C.F.R. § 1.136(a) for any extension of time required to ensure that any papers due in the U.S. Patent and Trademark Office during the pendency of this application are timely filed. Please charge any fees due for any extensions of time to Deposit Account No. 08-0219. A duplicate copy of this Transmittal Letter is attached for accounting purposes.

16. Correspondence Address:

Hale and Dorr LLP
1455 Pennsylvania Avenue, N.W.
Washington, D.C. 20004
Telephone: (202) 942-8400

Respectfully Submitted,

HALE AND DORR LLP

Irah H. Donner
Registration No. 35,120

Date: 10/8/03

Docket No.: 113217.120US1 PATENT/OFFICIAL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	:
Frederick W. LARK et al.	:
Serial No. unassigned	:
Filed: herewith	:

For: METHOD AND SYSTEM FOR COMPUTER-BASED AUCTIONING OF BASIC GENERATION SERVICES

REQUEST FOR NON-PUBLICATION UNDER 35 U.S.C. 1.22(b)

Honorable Commissioner for Patents
Alexandria, VA 22313-1450

Sir:

Applicant respectfully requests that the application as filed herein be withheld from publication under 35 U.S.C. 122(b). I hereby certify to the best of my knowledge that this invention has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing.

Respectfully submitted,
HALE AND DORR LLP

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